## SEC Form 5

# FORM 5

| ) 8 | Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>ubligations may continue. See<br>nstruction 1(b). |
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Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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| Form 4 Transactio  | ons Reported. | Filed          | d pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940   |                        |   |             |  |  |  |  |  |
|--|---------------|----------------|--|------------------------|---|-------------|--|--|--|--|--|
| 1. Name and Address of Reporting Person* FOSTER JAMES C (Last) (First) (Middle) 251 BALLARDVALE STREET |               |                | 2. Issuer Name and Ticker or Trading Symbol<br><u>CHARLES RIVER LABORATORIES</u><br><u>INTERNATIONAL, INC.</u> [ CRL ]<br>3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)<br>12/28/2019 |                        | tionship of Reporting Person(s) to Issuer<br>all applicable)<br>Director 10% Owner<br>Officer (give title Other (specify<br>below)<br>Chairman, President and CEO |             |  |  |  |  |  |
| (Street)<br>WILMINGTON<br>(City)   | MA<br>(State) | 01887<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | 6. Indiv<br>Line)<br>X | vidual or Joint/Group Filing (<br>Form filed by One Repor<br>Form filed by More than<br>Person  | ting Person |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) | 4. Securities Ac<br>Of (D) (Instr. 3, |               | or Disposed       | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end of | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|---|---------------------------------------|---------------|-------------------|---|---|---|--|
|                                 |  |   |   | Amount                                | (A) or<br>(D) | Price             | Issuer's Fiscal<br>Year (Instr. 3 and<br>4)                   |   |   |  |
| Common Stock                    | 07/01/2019                                 |   | G                                       | 2,500                                 | D             | \$ <mark>0</mark> | 274,515 <sup>(1)(2)(3)</sup>                                  | D   |   |  |
| Common Stock                    | 09/17/2019                                 |   | G                                       | 4,775                                 | D             | \$0               | 0(1)  | I   | 2017<br>GRAT  |  |
| Common Stock                    | 09/24/2019                                 |   | G                                       | 3,000                                 | D             | \$0               | 274,515 <sup>(1)(2)(3)</sup>                                  | D   |   |  |
| Common Stock                    |  |   |   |                                       |               |                   | 12,800 <sup>(3)</sup>   | I   | 2018<br>GRAT  |  |
| Common Stock                    |  |   |   |                                       |               |                   | 340   | I   | By Trust  |  |
| Common Stock                    |  |   |   |                                       |               |                   | 10,000  | I   | Held By<br>Spouse   |  |
| Common Stock                    |  |   |   |                                       |               |                   | 25,000 <sup>(2)</sup>   | I   | 2019<br>GRAT  |  |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) | of<br>Deriva<br>Secur<br>Acqui<br>(A) or<br>Dispo<br>of (D)<br>(Instr. | Derivative (Month/Day/Year)<br>Securities<br>Acquired<br>(A) or<br>Disposed |                     | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|---|--|---|---------------------|---|-------|---|--|--|--|--|
|   |   |  |   |   | (A)  | (D)   | Date<br>Exercisable | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

Explanation of Responses:

1. On 9/17/2019: 13,615 shares were transferred from the 2017 GRAT to Direct Holdings.

2. On 10/23/2019, the reporting person contributed 25,000 shares of CRL common stock to a grantor retained annuity trust for the benefit of himself and his two adult children.

3. On 10/08/2019: 12,200 shares were transferred from the 2018 GRAT to Direct Holdings

#### /s/ James C. Foster

02/07/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.