SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Barbo William D	2. Date of Event Requiring Statement (Month/Day/Year) 10/18/2016		3. Issuer Name and Ticker or Trading Symbol      CHARLES RIVER LABORATORIES INTERNATIONAL INC      []      4. Relationship of Reporting Person(s) to Issuer (Check all applicable)      Director    10% Owner      X    Officer (give title below)    Other (specify below)      6. Individual or Joint/Group Filing (Check Applicable Line)					
WILMINGTON MA  01887			Corporate Executive V	/P & CCO			y One Reporting Person y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			mount of Securities eficially Owned (Instr. 4) G. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		t (D)   (Ins	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock <sup>(1)</sup>			16,465	D	D			
Table II - Derivative Securities Beneficially Owned        (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Options (Right to Buy)	02/22/2014 <sup>(2)</sup>	02/22/2020	Common Stock	2,293	40.4	D		
Stock Options (Right to Buy)	02/28/2015 <sup>(3)</sup>	02/28/2021	Common Stock	3,650	59.41	D		
Stock Options (Right to Buy)	02/27/2016 <sup>(4)</sup>	02/27/2020	Common Stock	8,631	76.67	D		
Stock Options (Right to Buy)	02/26/2017 <sup>(5)</sup>	02/26/2021	Common Stock	12,060	73.7	D		

## **Explanation of Responses:**

1. Consists of: (a) 9,692 shares of common stock; (b) 2,848 shares of restricted stock which vest as follows: 1,923 shares on 2/22/2017, 462 shares on 2/28/2017 and 463 shares on 2/28/2018; (c) 3,925 unvested restricted stock units (RSUs) which vest as follows: 614 on 2/26/2017, 489 on 2/27/2017, 615 on 2/26/2018, 489 on 2/27/2018, 614 on 2/26/2019, 489 on 2/27/2019 and 615 on 2/26/2020. Each RSU represents a contingent right to receive one share of common stock.

2. Stock Options will vest as follows: 2,293 on 2/22/2017.

3. Stock Options will vest as follows: 1,825 on 2/28/2017 and 1,825 on 2/28/2018.

4. 2,157 stock options have vested as of the reporting date. The remaining 6,474 stock options will vest as follows: 2,158 on 2/27/2017, 2,158 on 2/27/2018 and 2,158 on 2/27/2019.

5. Stock Options will vest in four equal installments of 3,015 on 2/26/2017, 2/26/2018, 2/26/2019 and 2/26/2020.

## /s/ William D. Barbo

\*\* Signature of Reporting Person

10/21/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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